

# APPLIED PHD 2025 PROGRAM RULES

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<u>Preliminary note</u>: The use of the masculine gender in this text has been adopted in order to facilitate reading and has no discriminatory intent. The masculine is used as a neutral gender to refer to women, men and non-binary people.

#### 1 OBJECTIVE

The *Applied PHD* program enables the funding of PHD projects that will be conducted in collaboration with an entity other than a research organisation.

The project is conducted within a collaboration between:

- A "Research Organisation" that has a research site in the Brussels-Capital Region: university, higher education institution, collective research center, etc.
- A "Partner Entity" that has an exploitation site in the Brussels-Capital Region: company, public institution, non-profit organisation, etc. which is not a research organisation.

It aims to promote partnerships between the "academic" sector and the Brussels ecosystem (private, public, non-profit sector...) in order to develop skills and knowledge that are useful to both parties. The targeted collaboration is intended to be bilateral, with each partner bringing its expertise to the project, from the set-up. Particular attention must be placed on the valorization of the results by the partner entity (knowledge/technology transfer).

The objective of an *Applied PHD* project is to produce new knowledge that contributes to the academic state of the art in the field while being connected to the field reality faced by the partner entity. This new knowledge must therefore be useful for the development of the partner entity's activities. In addition, the researcher "gets out of his laboratory" and is thus confronted with a reality different from that of a research organisation.

In short, ultimately, the success factors of an Applied PHD project are the following:

- Thesis successfully defended (contribution to the scientific state of the art in the field);
- Results (technologies/knowledge) have been transferred to the partner entity for valorization in the context of its activities (improved or new product/service/organisation);
- The researcher has acquired skills, both scientific and related to the dynamics of the partner entity, which strengthen his attractiveness on the labour market, primarily within the partner entity.

As the *Applied PHD* program is funded by the Brussels-Capital Region, the research carried out in this context must be **linked to a competence of the Brussels-Capital Region**. The competences are listed on the <u>website of the Brussels Parliament</u>. If in doubt, do not hesitate to contact Innoviris.

In addition, the projects financed must in term, make a positive contribution to the development of the Brussels-Capital Regio, in line with the strategic objectives and priority topics defined by the Government. It is expected that any project funded by Innoviris be exemplary at the social or environmental level and will not have any negative impact on these two levels (see definition of exemplarity: section 9.2, criterion 5).

#### 2 TARGET AUDIENCE AND CONFIGURATION

The *Applied PhD program* is intended for **researchers** who wish to conduct a doctoral thesis with an applied focus. The researcher is hired by a research organisation, beneficiary of the subsidy.

The project will be carried out in collaboration between **the research organisation** ("academic" partner) and the **partner entity**. The researcher must spend at least **50%** of the duration of the project at the Brussels site of the partner entity.

Different parties are involved in the Applied PhD program. They are defined below.

#### 2.1 Beneficiary

The Beneficiaries of this program are research organisations (RO) as defined in Article 1.3 of the State aid framework for research, development and innovation (2014/C 198/01) (university, higher education institution, collective research center or other research organisation) which have at least one exploitation site in the territory of the Brussels-Capital Region.

Although the researcher shares his time between the research organisation ("academic" partner) and the partner entity, he will be hired 100% (1 FTE) by the research organisation.

In the event that the beneficiary is not a university and is therefore not qualified to grant a PHD degree, a collaboration with a university is needed. In this case, the collaboration is tripartite. The researcher divides his time mainly between the beneficiary research organisation (e.g. higher education institution-HEI, collective research center-CRC, Other Research Organisation-Other OR) and the partner entity (> 50%) but he will also have to carry out occasional stays within the partner university for academic tasks related to obtaining the PHD degree (doctoral school, etc.). A co-promotor must be identified within the partner university. It should be noted that the partner university does not receive any subsidy. The researcher is hired 100% by the beneficiary research organisation.

Figures 1 and 2 below illustrate project configurations associated with the two types of beneficiary (University or HEI/CRC/other RO).

A co-promotion involving a professor from a university other than the beneficiary is also possible in the case where the research organisation is a university. However, this configuration is not the scheme targeted by the *Applied PhD* program (=bilateral collaboration with the partner entity) and will therefore have to be well argued if applicable. In this configuration, the researcher shares his time mainly between the beneficiary university and the partner entity. He is hired 100% by the beneficiary university. The partner university with which the co-promoter is associated does not receive any subsidy. Occasional stays at the partner university are accepted.

In the case of a configuration with "3" partners - beneficiary research organisation, partner university and partner entity - the three partners must sign the collaboration agreement (see §5 below).

#### 2.2 Candidate/researcher

The candidate is a Belgian or foreign researcher whose degree allows to conduct a doctorate within the research organisation. The researcher cannot be registered as a PHD student before the submission of the project under the *Applied PhD* program.

He must be graduated before starting the project (between October 2025 and December 2025) but not necessarily at the time the application is submitted (4 December 2024).

The researcher will be hired by the research organisation from the beginning of the project.

The researcher is 100% dedicated to his project. He must be freed from any task that is not directly related to his doctoral project. He cannot be replaced during the project.

#### 2.3 Promotor

The promotor is a senior professor or researcher from the beneficiary institution. The promotor is responsible for the scientific and academic management of the project and the supervision of the researcher. The promotor submits the project for approval to its authority, which submits it to Innoviris, on behalf of its research organisation.

The promotor must have a sufficiently stable status within the beneficiary institution to ensure the follow-up of the doctorate for 4 years. Otherwise, a "stabilized" co-promotor within the beneficiary institution must be associated to the project.

A maximum of two promoters can be associated to the project if the beneficiary is a university, and three if the beneficiary another research organisation (higher education institution, collective research center, ...).

#### 2.4 Partner entity

The partner entity must have a legal personality, have at least one exploitation site in the territory of the Brussels-Capital Region and have relevant and adequate scientific or technical skills in the field of research or application of the project.

The partner entity must be independent of the research organisation. The independence between the partner entity and the research organisation in an *Applied PhD* project is understood as a legal independence but also a factual independence. It is therefore necessary to consider not only the elements of law (any statutory and contractual modalities) but also the elements of fact. An entity is independent when it is able to carry out its activities on its own, in view of its organisational structure, capital, equipment and staff. In other words, in addition to the fact that the two entities do not belong to the same group and are not controlled by the same shareholder, there must be no sharing of personnel or equipment and no interference from one entity to the other regarding the definition of the strategy/mission.

The researcher will have to spend at least 50% of his time at the partner entity's Brussels exploitation site. This site must therefore have the relevant infrastructure and a sufficient supervision structure (supervisors – see next section, team, etc.) to accommodate the researcher. Precisely, the team at the Brussels site of the partner entity must therefore be sufficiently large to ensure the optimal integration of the researcher and to ensure a smooth follow-up and exchanges (a priori a minimum of 3 FTEs available for the researcher and working within the Brussels site where he will be integrated).

The activities of this site are well underway at the funding application submission date (immersion of the researcher in a dynamic already in place). A priori, a minimum of <u>3 years of existence</u> is required. The field of activity of the partner - Brussels site - is linked to the PhD project (technology, field of expertise/application).

The partner entity must be sufficiently "solid" and viable to support the project until the PHD degree is obtained and beyond (valorization). It must be necessary, specific and central to the project (it is not just one actor among others). Effective collaboration with the partner entity, as envisioned in an *Applied PHD* project, cannot therefore be limited to easier access to data or a network.

Both the host infrastructure and the field of activity of the partner entity's Brussels site justify the presence of the researcher 50% of his time. The researcher's immersion in the partner entity also aims to meet the objective of acquiring skills related to the dynamics of the sector in question

("professionalizing" training different from that which can be obtained within the research organisation – contact with the market/field).

If the partner entity is a public institution, the tasks carried out in the framework of an *Applied PhD* project must obviously relate to tasks that are not already covered by the endowment ("dotation") or other subsidies received by the organisation. This is to avoid double financing.

Regarding the nature of the tasks carried out by the researcher within the partner entity, as mentioned above: the researcher must be free to work fully on his PhD, which means that all the tasks he performs within the partner entity must feed the PhD project (the thesis).

Note: the partner entity does not receive any subsidy.

#### 2.5 Supervisor

Within the partner entity, the researcher must be supervised by a supervisor with a high level of scientific and technical expertise in the research/application field of the project. The supervisor is also responsible for the integration of the researcher into the partner entity.

The supervisor is therefore an employee of the partner entity affiliated to the Brussels site where the researcher will spend 50% of his time.

Please note: 2 supervisors must be appointed. The second supervisor also monitors the progress of the project. He or she is appointed to ensure the continuity of the researcher's supervision and the involvement of the partner entity in the project if the main supervisor leaves the partner entity.

#### 2.6 Interface

The interface is the Technology/Knowledge Transfer Office (TTO, KTO) of the research organisation. It coordinates the submission of applications to Innoviris and monitors the partnership between the research organisation and the partner entity.

If the beneficiary is not a university nor a higher education institution, the interface, represented by an advisor, is the interface of the partner university.

These 6 parties must be identified when submitting the application. In addition, the collaboration agreement (see constitution of the file – below) concluded between the research organisation and the partner entity must be attached to the application file.

#### 2.7 Possible configurations

The figures below illustrate the 2 possible configurations for an *Applied PhD* project: configuration 1 – the beneficiary is a university; configuration 2 – the beneficiary is a research organisation not qualified to grant a PGH degree (Higher education institution, collective research center, etc.).

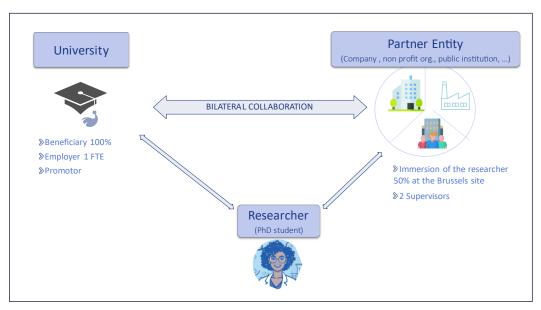


Figure 1: the beneficiary is a university

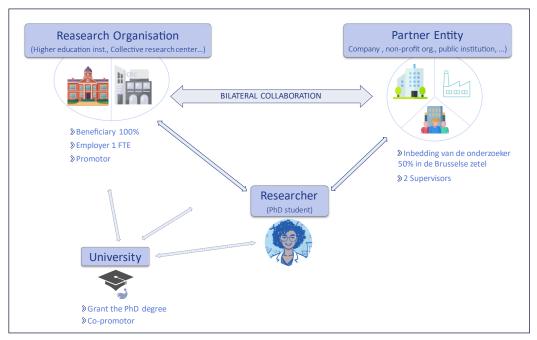


Figure 2: the beneficiary is not a university (higher education institution, collective research center, other research organisation)

#### 2.8 Conflicts of interest

The project stakeholders (promoter(s), researcher, supervisors, etc.) take all necessary measures to prevent any situation likely to compromise the impartial and objective execution of the project, including situations that may constitute a conflict of interest.

A conflict of interest may result from economic interests, political or national affinities, family or sentimental ties, or any other common interests relationships.

In particular, the situation where the supervisor or the promotor holds the position of professor/researcher within the research organisation <u>and</u> collaborator within the partner entity is considered as a conflict of interest.

Any situation constituting a conflict of interest or likely to lead to a conflict of interest during the execution of the project must be reported to Innoviris without delay and in writing.

#### 3 RESEARCH STAYS ABROAD

While research stays abroad can enrich the project, it is important to keep priority on collaboration between the research organisation and partner entity. Research stays abroad are therefore accepted but within the following limits: maximum 4 months out of the 4 years of the project and maximum 3 months in a row.

#### 4 VALORISATION OF THE RESULTS BY THE PARTNER ENTITY

If one of the objectives of the project is to produce results that will be useful to the partner entity, the goal is <u>not</u> to provide, by the end of the PhD project, a product/service/tool ready for deployment within the partner entity. Indeed, while results may reach the proof-of-concept stage, allowing the partner entity to position itself on the interest of the produced innovation for its activities, the necessary developments for direct deployment (production launch, direct use, etc.) are beyond the scope of the doctoral project. Thus, the evolution of this proof of concept into a product or service integrated into the partner entity's activities is not the responsibility of the researcher within the framework of his PhD project. After the transfer of results, it is the responsibility of the partner entity to carry out the necessary developments to bring to market (in the broad sense) an improved or new product or service derived from the thesis results. As mentioned here above, all tasks performed by the researcher must contribute to the thesis and the dissemination of the results

In order to avoid that indirect State aid is awarded to the partner entity through the beneficiary research organisation due to the more favorable conditions of the collaboration one of the following conditions must be fulfilled:

- the results of the collaboration which do not give rise to intellectual property rights (IPR) can be widely disseminated and any IPR resulting from the activities of the research organisation are fully allocated to it; or
- any IPR resulting from the project, as well as related access rights are allocated to the different
  partners in a manner which adequately reflects their respective interests, the importance of
  their work participation and their contribution to the project; or
- the research organisation receives a compensation equivalent to the market price for the intellectual property rights which results from its activities and are assigned to the partner entity or to which the partner entity is allocated access rights. The absolute amount of the value of any contribution, financial or other, of the participating partner entity to the costs of the research organisation's activities that resulted in the IPR concerned, may be deducted from that compensation.

The compensation received is equivalent to the market price if it enables the research organisation to enjoy the full economic benefit of those rights. This condition is fulfilled if:

- a) the amount of the compensation has been established by means of an open, transparent and non-discriminatory competitive sale procedure; or
- b) an independent expert valuation confirms that the amount of the compensation is at least equal to the market price; or
- c) the research organisation, as seller, can demonstrate that it effectively negotiated the compensation, at arm's length conditions, in order to obtain the maximum economic benefit at the moment when the contract is concluded, while considering its statutory objectives; or
- d) the collaboration agreement provides the partner entity with a right of first refusal on the IPR generated by the research organisation, where the research organisation

exercise a reciprocal right to solicit more economically advantageous offers from third parties so that the partner entity has to match its offer accordingly.

#### 5 PRECISIONS ON THE NATURE OF THE PROJECT

As described above, an *Applied PHD* project is a PhD project carried out in collaboration with a partner entity. It is indeed a single project conducted within two entities **and not** a project made up of two independent parts: on one hand academic activities leading to the thesis and on the other hand work for the partner entity. The aim of this research project is to contribute to the state of the art in the field while producing new knowledge of interest for the partner entity. Innoviris therefore does <u>not</u> expect projects to focus on the direct development of tools/products or services for the partner entity, as these tasks do not fall within the scope of doctoral research in an *Applied PhD* project (see also the above section on valorization by the partner entity: it is the responsibility of the partner entity to further develop the project results and integrate them into its activities in the form of products, services, etc.).

In addition, one of the goals of an *Applied PHD* project is to allow the partner entity to address issues that it does not usually address or have time to delve into through its short-term strategic goals, for example. The partner entity is thus encouraged to step back, anticipate, and critically evaluate its current activities/services, taking advantage of the opportunity for in-depth research and the broad perspective it offers. Consequently, Innoviris expects the partner entity to provide the researcher with the freedom to adopt a critical perspective and a holistic approach in his research

Finally, an Applied PHD project is indeed a collaboration between two entities, it is not a <u>research or development project</u> for the partner entity (in the sense of Innoviris' R&D funding for companies) and obviously <u>not</u> a consultancy for the partner entity.

#### 6 PROJECT DURATION

The support that can be obtained through this program covers a period of 4 years, with a GO/No GO at mid-term (see details of the follow-up protocol in §11 below).

#### 7 FUNDING

The financial support covers 100% of:

- personnel costs: the researcher's grant or salary;
- operating costs related to the work carried out within the research organisation;
- costs of instruments and equipment required for the project (depreciation) within the research organisation
- subcontracting costs (external services, etc.)
- overheads (max. 10% of personnel and operating costs).

During the project, all expenses must be justified and approved by Innoviris.

The grant agreement (contract between the Brussels Capital Region and the project partners) will specify the eligible expenses and the modalities regarding the payment of the subsidy.

Only the beneficiary research organisation receives the subsidy. The costs relating to the work carried out within the partner entity will be entirely borne by the latter.

#### 8 CONTENTS OF THE APPLICATION FILE

The evaluation is based on an application submitted via a form available on the Innoviris website, at the bottom of the *Applied PHD* page.

Note: A project that was rejected in a previous edition of the *Applied PhD* program can be resubmitted only once. In this case, an appendix explaining how this new version of the project addresses the

shortcomings highlighted during the first evaluation must be attached to the application file. It is recommended to contact Innoviris before resubmitting an application.

The application form includes the following elements:

- A summary sheet identifying the different parties (Beneficiary, project promotor(s), candidate, person in charge at the interface, Partner Entity and supervisors);
- The presentation of the team and the host structure (profile of the candidate, the promotor and the supervisors, presentation of the research unit of the promotor and of the Partner Entity);
- The presentation of the project (context, state of the art, objective, work program and schedule, specifying the periods spent within the research organisation and the Partner Entity);
- The project budget for the whole project duration (4 years):
  - budget of the research organisation (beneficiary): see the <u>Accounting Guidelines</u> for eligible costs. This budget must also be submitted in Excel format (template available at the bottom of the *Applied PhD* web page);
  - operating costs borne by the Partner Entity (not covered by Innoviris).
- The perspectives for the valorisation of the results (by the research organisation and the Partner Entity) and the impact of the project for the Brussels-Capital Region, with regard to the strategic objectives and priority themes defined by the Government.;
- A declaration on honor certifying that the parties comply with environmental, social, and labor law obligations and will remain compliant throughout the duration of the project. This attestation is included in the signature section of the form;
- A copy of the collaboration agreement signed between the research organisation and the partner entity. This agreement includes the modalities regarding the intellectual property. The terms and conditions of intellectual property must be in accordance with the European directives on state aid / Community framework for state aid for research and development and innovation. These documents state that all intellectual property rights on the RDI results, as well as the rights of access to these results, are assigned to the various partners and adequately reflect their respective interests, the extent of their involvement in the work, and their financial and other contributions to the project.

<u>Note</u>: If the research organisation (beneficiary) is not qualified to grant a PhD degree, the partner university will also be a signatory to the collaboration agreement.

- CVs of the promotor, candidate and supervisors;
- A cover letter from the candidate;
- A list of the 5 most recent and publications of the research unit that are relevant for the project;
- The Partner Entity Sheet, duly completed by the Partner Entity (see form);
- A Gantt chart describing the planning of the proposed program specifying the location where the tasks will be carried out (periods spent within the research unit and/or partner entity);
- If applicable, the qualification form for a Research Organisation;
- If applicable, if the project has already been submitted in a previous edition of the program but not selected: appendix explaining how this new version of the project addresses the shortcomings highlighted during the first evaluation.

#### 9 SUBMIISSON AND PROJECTS SELECTION PROCESS

Applications for funding must be submitted by **December 4, 2024, at 12:00 noon.** The research organisation and more specifically its "Interface" (KTO/TTO) submits the project digitally to <u>funding-request@innoviris.brussels</u> and copies to <u>jverstraeten@innoviris.brussels</u>.

The file sent by email must include the following elements:

- A Word version of the core of the document
- A PDF version including signatures and all appendices
- the budget in Excel file.

The files must therefore be submitted to the "Interface" (KTO/TTO) at a date prior to the above submission deadline. Please contact this service as soon as possible to find out the internal deadline specific to your organisation and to start preparing your application.

#### 9.1 Step 1: Eligibility

The assessment of the eligibility of the submitted applications is carried out by Innoviris.

An application is eligible if it is complete (see §Erreur! Source du renvoi introuvable.) and if it meets all the criteria defined above (see especially objectives §1 and definition of the parties §2) including the absence of any conflict of interest between the different parties involved in the project. Overall, the project must be in line with the philosophy of the program defined in §1, §2, §4 and §5.

The content and level of details in the application file must enable a jury of experts to evaluate the project according to the criteria defined in §9.2.

As part of the eligibility examination, links with other projects reviewed by Innoviris or other bodies, are also analyzed to avoid any cumulation of funding.

In addition, to be eligible for the *Applied PHD* program, the beneficiary research organisation, the partner entity, and possibly the partner university (configuration 2) must comply with all applicable obligations in the fields of environmental, social and labor law. These entities will ensure that they remain compliant throughout the duration of the project.

In the specific case of a resubmitted application (possible only once), the new version must be sufficiently reworked to be presented to a jury of experts.

Depending on the number of applications received, Innoviris reserves the right to conduct, on the basis of the application only, a pre-evaluation of projects according to the criteria defined in §9.2. This step could be carried out with the assistance of external experts. In this case, only projects that have passed this pre-evaluation stage will be eligible to be defended before a jury of experts.

This stage may be carried out with the assistance of external experts. Only projects that have passed this preliminary evaluation stage will be eligible to be presented to a jury of experts

The elements of eligibility are summarised in the appendix.

#### 9.2 Step 2: Evaluation by a jury

For each eligible project, Innoviris composes, organises and chairs an ad hoc jury in charge of the evaluation. This jury is composed of independent scientific experts as well as advisors from Innoviris. Each expert signs a non-disclosure agreement before receiving a copy of the project (application) for

prior reading. The evaluation is based on the analysis of the submitted documents and on an interview by the jury: the project is defended by the candidate, supported by his promotor, the "Interface" and his main supervisor.

The evaluation is based on the following criteria, which are of equal importance:

#### 1. Innovation, challenges and relevance of research

- The project tackles scientific challenges that require research at the doctoral level (innovative character and high originality)
- the description of the state of the art is of high quality; the positioning of the project relative to this state of the art is clear (specific contribution)
- Quality and relevance of the research questions, hypotheses and objective(s)

#### 2. Project feasibility: methodology and planning

Technological and scientific risks to be overcome, methodology and schedule presented

- clear description of the methodology and relevance (the project methodology is adequate to achieve the intended objectives)
- o risks identification (and mitigation)
- o planning presented: the project can be completed within the allotted time

#### 3. Relevance of the candidate for the project

- Academic CV
- adequacy between the candidate's expertise and the project (scientific knowledge and familiarity with the project)
- Critical scientific mindset, quality of the defense during the jury

#### 4. Supervision framework and host environment

- Research environment, promotor (and co-promotor)
  - Relevance and competence of the research unit for the project
  - Relevance and competence of the promotor to supervise the research and ensure the integration of the researcher into the research unit.
- Host structure within the partner entity (Brussels headquarters), supervisors
  - The supervisors have relevant expertise for the project and will be able to ensure the integration of the researcher within the partner entity
  - The activity, the equipment of the partner entity and the team in which the researcher will be integrated are relevant to the project and justify a >50% presence of the researcher within the entity
  - The partner entity is sufficiently independent of the research organisation. It allows the researcher
    to acquire a "professionalizing" training that differs from that which can be obtained in the academic
    world
- synergy between partners

# 5. Perspective of results valorisation and potential impact of this valorisation on the Brussels Region

Academic valorisation

Scientific publications, other dissemination, patents, etc.

Valoristaion by the partner entity

Knowledge transfer/technology and its use

- Strategic interest of the project for the entity
- potential impact of the project on the development/improvement of the entity's activities (products/services), for instance in economic terms but not only
- impact on the Brussels-Capital Region with regard to the strategic objectives and priority themes defined by the Government.

- The extent and impact on RBC in the event of an effective valorisation
- **Exemplarity** regarding social and/or environmental impact on the Brussels ecosystem (see art 6/2 of the 2024 version of the *ordonnance* (Innoviris legislation)). This means that the project contributes significantly to at least one of the following objectives:

#### Social exemplarity:

- an adequate standard of living for the most vulnerable categories of people or those with special needs, including: (1) improved access to products and services that meet basic human needs, such as water, food, housing, health care, education, (2) improved access to basic economic infrastructure, including sustainable transport, telecommunications and internet, electricity;
- the development of quality local employment;
- the development of social and democratic entrepreneurship;
- the establishment of a more inclusive society;

#### **Environmental exemplarity:**

- the more efficient use of resources;
- the improvement of the environmental impact, for instance with respect to pollutant emissions, mobility, biodiversity, and ecosystems;
- the climate change adaptation.

Note that the exemplarity criterion is a <u>necessary condition</u> for the funding of an *Applied PHD* project. To be selected, a project must therefore at least contribute to one of the exemplary objectives listed above and not be detrimental to any of them.

#### 9.3 Project selection

The projects selection is made by the Government of the Brussels-Capital Region, the Minister in charge of Scientific Research or by Innoviris, on the basis of the results of the evaluation by the jurys.

The budget available in 2025 for the *Applied PhD* program would would allow for the funding of around 7 projects. However, this is only an estimate.

#### 10 CALENDAR

- 4 December 2024: submission of projects to Innoviris by the "Interface" of the research organisation;
  - Reminder: projects must be submitted to the Research Organisation Interface by a date prior to December 4. Please contact this service as soon as possible.
- February 2025: eligibility of applications.
- End of February beginning of May 2025: Evaluation by "ad hoc" jurys.
- July 2025: final funding decision by the Government (projects selection).
- The project start date must be between October 1 and December 1, 2025.

#### 11 PROJECTS FOLLOW-UP PROTOCOL

Every year, the PhD student must submit to Innoviris an activity report presenting:

- The research results related to the scientific/technical aspect of the project;
- The results relating to valorisation aspects;
- the perspectives regarding the project valorisation;
- a program for the next steps of the project.

The promotor and the main supervisor are responsible for the supervision of the PhD student and for the project follow-up.

#### 11.1 After 1 year

After one year, the project activity report is analysed by Innoviris. It can be presented to a follow-up committee in order to assess the progress of the project and to highlight the perspectives for applications. If applicable, the PhD student, the promotor, the Interface and the supervisor are invited to this committee as well as scientific experts competent for the evaluation.

#### 11.2 After ~20 months

After ~20 months, a jury evaluates the results obtained, the future program, the likelihood of obtaining the PhD degree and the potential for valorisation within the partner entity. the project is reevaluated according to the criteria defined in section 9.2 above. This jury is composed of scientific experts and advisors from Innoviris. The evaluation constitutes a GO/NO GO for further funding. The jury therefore decides specifically on the opportunity to continue the funding. An activity report covering this first phase of the project and the elements of the second phase (program, valorisation perspective, etc.) is submitted to the members of the jury before the meeting. The PhD student, the promotor, the Interface and the supervisor are invited to this jury meeting to defend their project.

#### 11.3 After 3 years:

After 3 years, the project activity report (past year) is presented to a follow-up committee in order to assess the progress of the project, the priority steps planned for the final year and the perspective for results application. This committee is made up of scientific experts and advisors from Innoviris. The Phd student, the promotor, the Interface and the supervisor are also invited to this committee.

#### 11.4 Project Closure:

At the end of the project, a final committee brings together the PhD student, the promotor, the supervisor, Innoviris advisors and possible experts to discuss the final scientific results obtained and the scientific and valorization perspectives. A final activity report must be submitted prior to the meeting of this committee. The participation of Innoviris in the public defense of the thesis may be an alternative to this committee.

The jurys and follow-up committees are organised by Innoviris. Each expert signs a non-disclosure agreement before receiving the activity report for prior reading.

The accounting documents (statement of claims, supporting document and expenses statements) and activity reports will be submitted to Innoviris in accordance with the terms and conditions described in the grant agreement.

#### 12 INFORMATIONS

Any further information can be obtained from Innoviris, the Brussels Institute for Research and Innovation, Chaussée de Charleroi 112, 1060 Brussels.

The form, its annexes and the rules are available on the <u>innoviris.brussels website</u>.

#### Appendix – elements of eligibility

The following elements are analysed during the eligibility stage.

BCR= Brussels Capital Region, HE=Haute-Ecole, CRC=Collective Research Center, PE= Partner Entity, RO= Research Organisation, HEI=Higher Education Institution, CRC: Collective Research Center

#### The Research Organisation (RO) is eligible

- 1. located in the BCR
- 2. Qualifies as RO

#### The partner entity is eligible

- 1- partner entity (PE) with a legal personality not a RO
- 2-PE independent of the RO
- 3- operating site in the BCR (where the researcher spends 50% of his time)
- 4- activities of the site are well started at the time of submission of the application
- 5- field of activity Brussels site is linked to the PhD project
- 6- sufficient and relevant host infrastructure to accommodate the candidate
- 7 sufficiently "solid" and "viable" to support the project until the PhD degree is obtained and beyond (valorisation)
- 8 at least 3 FTEs available for the researcher within the PE
- 9 Created more than 3 years ago

#### The project does relate to a Regional Competence (RBC)

Candidate ok (master's degree obtained before the start of the project, not registered as a PhD student, ...)

Promoter(s) ok - sufficiently stabilized in the RO

2 Supervisors ok (are linked to the Brussels site, have the relevant expertise)

#### form is signed

#### The form (core) is complete:

- 1-summary sheet,
- 2-presentation of the team and the host structure,
- 3-Project (context, state of the art, objective, research questions, work program and planning specifying the periods spent within the research organisation and the partner entity)
- 4-Budget over 4 years (beneficiary and partner entity)
- 5-Perspective on the valorisation of the results and impact on the Region

## Appendix 1: Research Organisation Qualification Sheet - present and complete

(not applicable to universities, HEIs and CRCs)

Appendices 2, 4, 6: CV of Sponsor, Supervisors, Candidate - Present and Complete

Appendix 3: List of 5 relevant publications Research unit(s) - present and complete

Appendix 5: Candidate Cover Letter - Present and Complete

Appendix 7: Partner entity sheet - present and complete

Appendix 8: Gantt with Precision Partner Sharing - Present and Complete

Appendix 9: copy of signed collaboration agreement - present

Annex 10: if a project is re-submitted: explanations "how the project addressed the shortcomings highlighted during the previous evaluation" - present - the rework of the project is substantial enough for it to be presented to a jury (experts + Innoviris)

The content and level of details of the application allow a jury of experts to evaluate the project according to the evaluation criteria (§9.2)

#### Acceptable Intellectual Property and Exploitation Terms?

Open results or "All intellectual property rights on the results of the RDI, as well as rights of access to the results of the RDI, are attributed to the different partners and adequately reflect their respective interests, the extent of their participation in the work and their financial and other contributions to the project"

the RO receives from the PI a remuneration equivalent to the market price for the intellectual property rights that result from its activities in the project and that are attributed to the PE or to which the PE has access rights. . The absolute amount of the value of the EP's contributions, financial or otherwise, to the costs of the RO's activities that generated the relevant intellectual property rights may be deducted from this

#### A priori, the project meets the Applied PhD philosophy?

- PhD project (the project is not an industrial research project, a study or a subcontracting)
- the partners are necessary and specific for the project
- the partners each have relevant expertise for the project (bilateral collaboration)
- both the host infrastructure and the field of activity of the Brussels site of the partner entity justify the presence of the researcher 50% of time